10/594430

Attorney Docket No. 1012777-000065

## IAPO1 Rec'd PCT/PTO 26 SEP 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re P	atent Application of	
Koki N	IAKAMURA )	Group Art Unit:
Applic	ation No.: Unassigned )	Examiner:
Filed:	September 26, 2006	Confirmation No.:
For:	DENDRON AND DENDRIMER, METHOD OF PRODUCING THE SAME, AND METHOD OF PRODUCING A THIOACETAL COMPOUND	

## FIRST INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

The relevance of the listed documents is their citation in the attached International Search Report in the corresponding PCT application and/or their citation in the specification.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

**BUCHANAN INGERSOLL & ROONEY PC** 

Date September 26, 2006

Robert G. Muka

Registration No. 28531

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620 LAPO1 Rec'd PCT/P10 26 SEP 2006

Substitute for form 1449A/PTO & 1449B/PTO
FIRST
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet

Com	plete if Known
Application Number	Unassigned ·
Filing Date	September 26, 2006
First Named Inventor	Koki NAKAMURA
Examiner Name	
Attorney Docket Number	1012777-000065

			FOREIGN PATENT DO	CUMENTS							
					STATUS						
Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation	Partial Translation	Eng. Lang. Summary	International Search Report	IPER	With English Abstract	Cited in Specification
	*2001-163971	A	Japan	06-19-2001				X		X	<u></u>
	*2002-338535	Α	Japan	11-27-2002					<u> </u>	X	X
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	NON-PATENT LITERATURE DOCUMENTS
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	*N. GABRIEL LEMCOFF et al., "TOWARD NOVEL POLYACETALS BY TRANSACETALATION TECHNIQUES: DENDRIMERIC DIACETALS", Organic Letter, 2002, vol. 4, no. 5, pages 731-734, American Chemical Society (cited in International Search Report).
	*HIDEHARU MORI et al., "HYPERBRANCHED (METH)ACRYLATES IN SOLUTION, MELT, AND GRAFTED FROM SURFACES", Top. Curr. Chem., 2003, vol. 228, pages 1-37 (cited in specification).
	*"SCIENCE AND FUNCTION OF DENDRIMER", edited by KANEHIKO OKADA, published by IPC Ltd., pages 15-34 (cited in specification).
	*SCOTT M. GRAYSON et al., "CONVERGENT DENDRONS AND DENDRIMERS: FROM SYNTHESIS TO APPLICATIONS", Chemical Review, 2001, vol. 101, pages 3819-3867 (cited in specification).
	*BRINDABAN C. RANU et al., "HIGHLY EFFICIENT TRANSTHIOACETALIZATION OF O,O-ACETALS CATALYZED BY INDIUM(III) CHLORIDE", Synlett, 2002, no. 5, pages 727-730 (cited in specification).
	*J.S. YADAV et al., "INDIUM(III) CHLORIDE CATALYZED EFFICIENT CONVERSION OF CARBONYL COMPOUNDS TO 1,3-DITHIOACETALS", Synthetic Communications, 2002, vol. 32, no. 5, pages 715-719 (cited in specification).
	*AHMED KAMAL et al., "SCANDIUM TRIFLATE AS A RECYCLABLE CATALYST FOR CHEMOSELECTIVE THIOACETALIZATION", Tetrahedron Letters, 2002, vol. 43, pages 1347-1350, Elsevier Science Ltd. (cited in specification).
	*International Search Report dated 07/26/2005.

\*Copy attached.

			T		
F		Date			
Examiner		Considered	1	•	
Signature	·	Considered	D 0 000 D	line through citation if not in	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Form Letters1